



**CARIS & COMPANY**  
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*For Immediate Release:*

**CARIS & COMPANY APPOINTS  
ANTHONY J. KINIRY AS MANAGING DIRECTOR: HEAD OF  
TRADING AND SALES TRADING**

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**Del Mar, CA** June 30, 2008 – Caris & Company announced today the appointment of Anthony (Tony) J. Kiniry as Managing Director, Head of Trading and Sales Trading. Mr. Kiniry will be based out of the investment bank’s New York City offices.

“Tony brings a over 20 years of experience and relationships to Caris & Company,” said Darren Caris, Chairman and CEO. “It is our goal to bring together the best of sales, trading and research so our clients can leverage the deep domain expertise of our research analysts, and the team as a whole. Tony’s formidable background will ensure that we continue to help our clients generate alpha.” Mr. Caris added that this hire would augment the firm’s commitment to become Wall Street’s top research oriented boutique investment bank.

Tony Kiniry joins Caris and Company after four years at Fox-Pitt Kelton where he was Head of Equity Trading and member of the US management committee. From 1986 to 1993 he was a Principal at Morgan Stanley and Head Trader on the NASDAQ trading desk. Mr. Kiniry was a Managing Director at Soundview Technology Group and Head of NASDAQ trading in 1996. Along with several top investment bankers and research analysts, Mr. Kiniry moved to Deutsche Bank as a Managing Director and Head of NASDAQ trading. Between 1996 and 1999 the Deutsche Bank Technology Group built the worlds leading technology stock research, and underwriting practice. Mr. Kiniry moved with the group to Credit Suisse First Boston in 1999.

He is a 1981 graduate of the University of Connecticut with a degree in Urban Studies. Tony resides in Westport, Ct. with his wife and children. Tony holds the following securities registrations: 4, 24, 7, 55, and 63

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### **About Caris & Company**

Established in 2002, Caris & Company is a full service investment bank that services Wall Street's institutional investment community by providing differentiated, collaborative, alpha generating ideas. Headquartered in Del Mar, CA, Caris & Company has offices in New York, San Francisco, and Boston. The firm's research product includes coverage in the following areas: Biotechnology, Medical Diagnostics, Healthcare IT, Semiconductors, Semi-Cap Equipment, Storage, Refining, Coal, Home Furnishings, Specialty Retail, Household Products, Personal Care, Toys and Action Sports Retailers.

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