



CARIS & COMPANY
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For Immediate Release:

**CARIS & COMPANY APPOINTS
MATT WINER AS MANAGING DIRECTOR:
MIDWEST INSTITUTIONAL EQUITY SALES**

Del Mar, CA November 2, 2009 – Caris & Company announced today the appointment of Mathew O. Winer as Managing Director of Midwest and Atlanta Institutional Equity Sales. Mr. Winer will be based out of the investment bank’s Atlanta office.

“Matt brings a tremendous amount of energy, experience, and professional relationships to Caris & Company,” said Darren Caris, Chairman and CEO. “The Midwest and Atlanta are incredibly important markets for Caris & Company, and we are thrilled to have a partner like Matt lead our effort to bring our key Midwest and Atlanta accounts ideas that help them generate alpha.” Mr. Caris added that Matt would augment the firm’s commitment to become Wall Street’s top research oriented boutique investment bank and would be involved in planning the firm’s strategic direction.

Matt joins Caris & Company from SunTrust Robinson Humphrey where he was a Managing Director, Institutional Sales. He joined The Robinson Humphrey Company in June 1982 in Atlanta and spent 26 years with Robinson Humphrey and it's successor company's. Matt has covered institutional accounts in the Midwest and Atlanta for most of his career.

He is a graduate of Georgia State University with a Bachelors in Business Administration. He holds both the Series 7 and 63 registrations.

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About Caris & Company

Established in 2002, Caris & Company is a full service investment bank that services Wall Street's institutional investment community by providing differentiated, collaborative, alpha generating ideas. Headquartered in Del Mar, CA, Caris & Company has offices in New York, San Francisco, and Boston. The firm's research product includes coverage in the following areas: Generic and Specialty Pharm and Large Cap Pharm, Medical Devices, Medical Diagnostics, Healthcare IT, Semiconductors, Semi-Cap Equipment, Enterprise Software, IT Hardware, Refining, Coal, Personal Care/Household Products, Toys, Media & Entertainment, Specialty Retail, and Action Sports Retailers.

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