

*For Immediate Release:*

**CARIS & COMPANY APPOINTS  
THOMAS P. RORKE AS MANAGING DIRECTOR  
INSTITUTIONAL EQUITY SALES**

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**San Diego, CA** December 1, 2011 – Caris & Company announced today the appointment of Thomas Rorke as Managing Director of Institutional Equity Sales. Mr. Rorke will be based out of the investment bank’s New York offices.

“Tom brings a tremendous amount of energy and experience to Caris & Company,” said Darren Caris, Chairman and CEO. “We cater to the most sophisticated investors in the world, which requires an advanced skill set, such as Tom’s, to ensure that we continue to help our clients generate alpha.” Mr. Caris added that this hire would augment the firm’s commitment to become Wall Street’s top research oriented boutique investment bank, and enhance the Company’s Mid-Atlantic sales effort.

Mr. Rorke joins Caris & Company from Credit Suisse where he covered institutional clients in the Canadian, Mid-Atlantic, Midwest, New York and Southern regions for the last 14 years. Previous to Credit Suisse, Tom worked for Wertheim Schroder where he was primarily focused on the Mid-Atlantic market.

Tom is a graduate of Wake Forest University with degrees in Psychology and Spanish. He resides in Philadelphia, PA with his wife and 2 daughters. He holds the Series 7 & 63 registrations.

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### **About Caris & Company**

Established in 2002, Caris & Company is a full service investment bank that services Wall Street's institutional investment community by providing differentiated, collaborative, alpha generating ideas. Headquartered in San Diego, CA, Caris & Company has offices in New York, San Francisco, and Boston. The firm's research product includes coverage in the following areas: Generic and Specialty Pharm and Large Cap Pharm, Medical Devices, Medical Diagnostics, Healthcare IT, Semiconductors, Semi-Cap Equipment, Enterprise Software, E & P, Utilities, Personal Care/Household Products, Toys, Media & Entertainment, Specialty Retail, and Action Sports Retailers.

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