

For Immediate Release:

**CARIS & COMPANY APPOINTS
ELIZABETH E. KADIN AS MANAGING DIRECTOR:
INSTITUTIONAL EQUITY SALES**

San Diego, CA March 9, 2011 – Caris & Company announced today the appointment of Elizabeth E. Kadin as Managing Director of Institutional Equity Sales. Mrs. Kadin will be based out of the investment bank’s New York office.

“Elizabeth brings a tremendous amount of energy, experience, and professional relationships to Caris & Company,” said Darren Caris, Chairman and CEO. “We cater to the most sophisticated investors in the world, which requires an advanced skill set, such as Elizabeth’s, to ensure that we continue to help our clients generate alpha.” Mr. Caris added that Elizabeth would augment the firm’s commitment to become Wall Street’s top research oriented boutique investment bank and would be involved in planning the firm’s strategic direction.

Elizabeth Kadin joins Caris & Company from BMO Capital Markets in New York where she was a Managing Director of Institutional Equity Sales since 2003 covering primarily New York City. Prior to that, she was at Gerard Klauer Mattison (GKM) as a Senior Vice President covering institutional accounts in New York City and Canada. (BMO Capital Markets acquired GKM in 2003). She began her career at Drexel Burnham Lambert in the 1980s as a junior salesperson covering institutional accounts in Canada.

Elizabeth graduated from Dartmouth College, having majored in philosophy and environmental studies and received her MBA in Finance from Columbia Business School.

Elizabeth resides in Westchester County with her husband, two children and dog. She holds both the Series 7 and 63 registrations.

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About Caris & Company

Established in 2002, Caris & Company is a full service investment bank that services Wall Street's institutional investment community by providing differentiated, collaborative, alpha generating ideas. Headquartered in Del Mar, CA, Caris & Company has offices in New York, San Francisco, and Boston. The firm's research product includes coverage in the following areas: Generic and Specialty Pharm and Large Cap Pharm, Medical Devices, Medical Diagnostics, Healthcare IT, Semiconductors, Semi-Cap Equipment, Enterprise Software, Personal Care/Household Products, Toys, Media & Entertainment, Home Furnishings and Specialty Retail.

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