



**CARIS & COMPANY**

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*For Immediate Release:*

**CARIS & COMPANY APPOINTS  
KEVIN P. CUSHING AS MANAGING DIRECTOR:  
INSTITUTIONAL EQUITY SALES**

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**San Diego, CA** December 5, 2011– Caris & Company announced today the appointment of Kevin P. Cushing as Managing Director of Institutional Equity Sales. Mr. Cushing will be based out of the investment bank’s Boston office.

“Kevin brings a wealth experience and professional relationships to Caris & Company,” said Darren Caris, Chairman and CEO. Mr. Caris added that Kevin would augment the firm’s commitment to become Wall Street’s top research oriented boutique investment bank and would be involved in planning the firm’s strategic direction.

Kevin Cushing joins Caris & Company from MF Global where he opened the Boston office in 2009. Prior to that he ran business development at CreditPointe. He also ran the Boston office for Wedbush Morgan Securities. He was Senior Vice President at Prudential Equity Group and Vice President at ING Barings. Kevin began his career with Monness, Crespi, Hardt & Co. in New York, NY.

Kevin received his degree in Communications from Marist College. He resides in the Hingham, MA with his wife and four children. Kevin holds the Series 7 and 63 registrations.

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### **About Caris & Company**

Established in 2002, Caris & Company is a full service investment bank that services Wall Street's institutional investment community by providing differentiated, collaborative, alpha generating ideas. Headquartered in San Diego, CA, Caris & Company has offices in New York, San Francisco, and Boston. The firm's research product includes coverage in the following areas: Generic and Specialty Pharm and Large Cap Pharm, Medical Devices, Medical Diagnostics, Healthcare IT, Semiconductors, Semi-Cap Equipment, Enterprise Software, E & P, Utilities, Personal Care/Household Products, Toys, Media & Entertainment, Specialty Retail, and Action Sports Retailers.

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