



**CARIS & COMPANY**  
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*For Immediate Release:*

**CARIS & COMPANY APPOINTS  
JAMIE RYAN PENNINGTON AS  
MANAGING DIRECTOR:  
INSTITUTIONAL EQUITY SALES-TEXAS**

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**Del Mar, CA** June, 15, 2010 – Caris & Company announced today the appointment of Jamie Ryan Pennington as Managing Director of Institutional Equity Sales. Ms. Pennington will be based out of the investment bank’s Atlanta office.

“Jamie brings an incredible rolodex and years of idea focused account service to Caris & Company,” said Darren Caris, Chairman and CEO. “We cater to the most sophisticated investors in the world, which requires an advanced skill set, such as Jamie’s, to ensure that we continue to help our clients generate alpha.” Mr. Caris added that this hire would augment the firm’s commitment to become Wall Street’s top research oriented boutique investment bank, and enhance the Company’s sales effort in the South.

Jamie Pennington joined Caris & Company after covering institutional accounts in Texas for Sterne, Agee & Leach. Prior to Sterne Agee, Jamie was a Managing Director at Cantor Fitzgerald and Capital One Southcoast, focusing on the Midwest and Texas accounts. She began her career at Wachovia Securities in Atlanta.

Jamie was named one of the Atlanta’s Top 40 executives under 40 years old in October 2007. She graduated with a B.S. in Communications from Vanderbilt University in 1997, where she received the Founders Medal. She lives in Atlanta with her husband and three small children. She holds both the Series 7 and 63 registrations.

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### **About Caris & Company**

Established in 2002, Caris & Company is a full service investment bank that services Wall Street's institutional investment community by providing differentiated, collaborative, alpha generating ideas. Headquartered in Del Mar, CA, Caris & Company has offices in New York, San Francisco, and Boston. The firm's research product includes coverage in the following areas: Generic and Specialty Pharm, Medical Devices, Medical Diagnostics, Healthcare IT, Semiconductors, Semi-Cap Equipment, Enterprise Software, IT Hardware, Internet, Refining, Oil & Gas, Personal Care/Household Products, Toys, Media & Entertainment, Home Furnishings, Specialty Retail, and Action Sports Retailers.

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