



CARIS & COMPANY
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For Immediate Release:

**CARIS & COMPANY APPOINTS
CRAIG A. ELLIS DIRECTOR OF RESEARCH
AND SENIOR MANAGING DIRECTOR**

Del Mar, CA July 8, 2010– Caris & Company announced today the appointment of Craig A. Ellis as Director of Research and Senior Managing Director. Mr. Ellis will continue to be based out of the investment bank’s San Francisco office.

“Craig has one of the most impressively comprehensive set of analytical, mechanical, and managerial skills that I have ever been fortunate enough to witness,” said Darren Caris, Chairman and CEO. “Importantly, Craig’s irreproachable ethics, single minded devotion to our clients, and thoughtful management style make him a natural fit to lead our research team. We have one simple goal, to make money for our clients. To do this, we strive to attract Wall Street’s top thinkers into a collaborative environment where our clients can leverage the deep domain expertise of each and of the team as a whole”. Mr. Caris added that Mr. Ellis’ appointment is further evidence of the firm’s commitment to become Wall Street’s top research oriented boutique investment bank.

“I’m pleased to take this new responsibility at such a dynamic time for our clients and at such an exciting time for Caris & Company” stated Mr. Ellis. He added, “Under Darren’s leadership the firm has significantly enhanced its research capabilities across Technology, Health Care, Consumer, and Energy verticals in the past 18 months. I look forward to working with our many talented research professionals to help generate differentiated, proprietary, and proactive ideas as we strive to consistently enhance our client’s investment process. In partnership with a significantly fortified institutional sales and trading team, I am eager to help forge even deeper and more beneficial client relationships in this new capacity”.

Prior to joining Caris in March, 2009 Mr. Ellis was a Managing Director at Citi. During his 13-year Wall Street career he has achieved a steady record of top-3 rankings in surveys such as *Institutional Investor*, *Greenwich Associates*, and *Starmine* across four different sectors. He has covered the Semiconductor sector since 2004, Imaging Technology from 2002 to 2003, and prior to that was part of II-ranked Energy and Technology Hardware teams from 1998 through 2001. Prior to a Wall Street

career he enjoyed a 10-year career at Chevron Corporation in increasingly senior Finance and Strategy roles.

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About Caris & Company

Established in 2002, Caris & Company is a full service investment bank that services Wall Street's institutional investment community by providing differentiated, collaborative, alpha generating ideas. Headquartered in Del Mar, CA, Caris & Company has offices in New York, San Francisco, and Boston. The firm's research product includes coverage in the following areas: Pharmaceuticals, Specialty Pharma, Biotechnology, Medical Devices, Medical Diagnostics, Healthcare IT, Health Care Services, Semiconductors, Semi-Cap Equipment, Hardware, Storage, Software, Internet, Media, Refining, Coal, Home Furnishings, Specialty Retail, Consumer Electronics, and Action Sports Retailers.

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