



CARIS & COMPANY
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For Immediate Release:

**CARIS & COMPANY APPOINTS PASCAL CELLIER
AS MANAGING DIRECTOR: CANADIAN AND INTERNATIONAL
INSTITUTIONAL EQUITY SALES**

Del Mar, CA June 15, 2009 – Caris & Company announced today the appointment of Pascal Cellier as Managing Director of Canadian and International Institutional Equity Sales. Mr. Cellier will be based out of the investment bank’s New York office.

“Pascal brings a tremendous amount of energy, experience, and professional relationships to Caris & Company,” said Darren Caris, Chairman and CEO. “A robust international effort is an important evolution for Caris & Company, and we are thrilled to have Pascal lead our effort to bring key international accounts ideas that help them generate alpha.” Mr. Caris added that Mr. Cellier would augment the firm’s commitment to become Wall Street’s top research oriented boutique investment bank and would be involved in planning the firm’s strategic direction.

Pascal Cellier joined Caris & Company after 5 years at Soleil Securities where he built the international department. Pascal has spent his entire 20+ year Wall Street career servicing European and Canadian institutions at Hambrecht & Quist, Montgomery Securities, Barclays and Conning.

Mr. Cellier earned an MBA from the University of Harford, CT in 1986 and graduated from the Institut Supérieur de Gestion in Paris, France. He holds the Series 7, 63 and 24 licenses. Pascal, a native of France, lives in Manhattan with his wife.

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About Caris & Company

Established in 2002, Caris & Company is a full service investment bank that services Wall Street's institutional investment community by providing differentiated, collaborative, alpha generating ideas. Headquartered in Del Mar, CA, Caris & Company has offices in New York, San Francisco, and Boston. The firm's research product includes coverage in the following areas: Generic and Specialty Pharm and Large Cap Pharm, Medical Devices, Medical Diagnostics, Healthcare IT, Semiconductors, Semi-Cap Equipment, Enterprise Software, Refining, Coal, Personal Care/Household Products, Toys, Media & Entertainment, Home Furnishings, Specialty Retail, and Action Sports Retailers.

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