

For Immediate Release:

**CARIS & COMPANY APPOINTS
BRIAN A. MILLER AS MANAGING DIRECTOR:
INSTITUTIONAL EQUITY SALES**

San Diego, CA January 3, 2012 – Caris & Company announced today the appointment of Brian A. Miller as Managing Director, Institutional Equity Sales. Mr. Miller will be based out of the investment bank’s San Francisco offices.

“Brian brings a tremendous amount of selling experience to Caris & Company, having been an institutional research sales person for 20 years,” said Darren Caris, Chairman and CEO. “We cater to the most sophisticated investors in the world, which requires an advanced skill set, such as Brian’s, to ensure that we continue to help our clients generate alpha.” Mr. Caris added that this hire would augment the firm’s commitment to become Wall Street’s top research oriented boutique investment bank, and enhance the Company’s West Coast sales effort.

Brian Miller joins Caris and Company after 5 years at Think Equity in San Francisco. He has held sales positions at UBS Warburg, Thomas Weisel Partners, Needham & Company and began his career at Cowen & Company in New York in 1990.

Brian received his B.A. from Bucknell University in 1990. He currently lives in San Francisco with his wife Elizabeth. Brian holds the Series 7, 63 and 24 registrations.

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Established in 2002, Caris & Company is a full service investment bank that services Wall Street's institutional investment community by providing differentiated, collaborative, alpha generating ideas. Headquartered in San Diego, CA, Caris & Company has offices in New York, San Francisco, and Boston. The firm's research product includes coverage in the following areas: Generic and Specialty Pharm and Large Cap Pharm, Medical Devices, Medical Diagnostics, Healthcare IT, Semiconductors, Semi-Cap Equipment, Enterprise Software, E & P, Utilities, Personal Care/Household Products, Toys, Media & Entertainment, Specialty Retail, and Action Sports Retailers.

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