



CARIS & COMPANY
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For Immediate Release:

CARIS & COMPANY APPOINTS DANIEL R. FERRY AS SENIOR VICE PRESIDENT: INSTITUTIONAL EQUITY SALES

Del Mar, CA January 18, 2011– Caris & Company announced today the appointment of Daniel R. Ferry as Senior Vice President of Institutional Equity Sales. Mr. Ferry will be based out of the investment bank’s Boston office.

“Dan brings a wealth experience and professional relationships to Caris & Company and is an exciting team member to round out our Boston effort,” said Darren Caris, Chairman and CEO. Mr. Caris added that Dan would augment the firm’s commitment to become Wall Street’s top research oriented boutique investment bank and would be involved in planning the firm’s strategic direction.

Dan Ferry joins Caris & Company after nearly five years at Majestic Research where he was Vice President of Sales. During his tenure, Dan covered mutual funds and the hedge fund community in Boston and the greater New York area. He opened the firm’s Boston office in 2007. Dan began his career at Fidelity Investments servicing institutional accounts and broker dealers across the country. Subsequently, he was a wholesaler at AIG SunAmerica, where he covered New York State.

Dan received his B.A. in Business Administration in 1997 from the University of Southern California. He lives in the South End neighborhood of Boston with his wife and daughter.

About Caris & Company

Established in 2002, Caris & Company is a full service investment bank that services Wall Street’s institutional investment community by providing differentiated, collaborative, alpha generating ideas. Headquartered in Del Mar, CA, Caris & Company has offices in New York, San Francisco, and Boston. The firm’s research product includes coverage in the following areas: Generic and Specialty Pharm and Large Cap Pharm, Medical Devices, Medical Diagnostics, Healthcare IT, Semiconductors, Semi-Cap Equipment, Enterprise Software, Refining, Personal Care/Household Products, Toys, Media & Entertainment, Home Furnishings, Specialty Retail, and Action Sports Retailers.

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